

# A NOTE ON THE CAFIERO CRITERION IN EFFECT ALGEBRAS

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ABSTRACT. We give an alternative proof of a Cafiero type theorem for measures on effect algebras.

## 1. INTRODUCTION

In this note we want to give an alternative proof of the Cafiero theorem valid for measures on effect algebras as contained in (cf. [1]). Avallone reduced the proof to the classical case using techniques elaborated in [11]; we here give a direct proof imitating de Lucia and Cavaliere's paper (see [7]).

Effect algebras (alias D-posets) have been independently introduced in 1994 by D. J. Foulis and M. K. Bennett in [3] and by F. Chovanek and F. Kopka in [5] for modelling unsharp measurement in a quantum mechanical system. They are a generalization of many structures which arise in Quantum Physics [8] and in Mathematical Economics [6, 4], in particular they are a generalization of orthomodular posets and MV-algebras and therefore of Boolean algebras.

## 2. PRELIMINARIES

**Definition 2.1.** Let  $(L, \leq)$  be a poset with a smallest element 0 and a greatest element 1 and let  $\ominus$  be a partial operation on  $L$  such that  $b \ominus a$  is defined if and only if  $a \leq b$  and for all  $a, b, c \in L$ :

If  $a \leq b$  then  $b \ominus a \leq b$  and  $b \ominus (b \ominus a) = a$

If  $a \leq b \leq c$  then  $c \ominus b \leq c \ominus a$  and  $(c \ominus a) \ominus (c \ominus b) = b \ominus a$ .

Then  $(L, \leq, \ominus)$  is called a *difference poset* (*D-poset* for short), or a *difference lattice* (*D-lattice* for short) if  $L$  is a lattice.

One defines in  $L$  a partial operation  $\oplus$  as follows:

$a \oplus b$  is defined and  $a \oplus b = c$  if and only if  $c \ominus b$  is defined and  $c \ominus b = a$ .

The operation  $\oplus$  is well-defined by the cancellation law [8, page 13] ( $a \leq b, c$  and  $b \ominus a = c \ominus a$  implies  $b = c$ ), and  $(L, \oplus, 0, 1)$  is an effect algebra (see [8, Theorem 1.3.4]), that is the following conditions are satisfied for all  $a, b, c \in L$ :

If  $a \oplus b$  is defined, then  $b \oplus a$  is defined and  $a \oplus b = b \oplus a$ ;

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If  $b \oplus c$  is defined and  $a \oplus (b \oplus c)$  is defined, then  $a \oplus b$  and  $(a \oplus b) \oplus c$  are defined, and  $a \oplus (b \oplus c) = (a \oplus b) \oplus c$ ;

There exists a unique  $a' \in E$  such that  $a \oplus a'$  is defined and  $a \oplus a' = 1$ ;

If  $a \oplus 1$  is defined, then  $a = 0$ .

We say that  $a$  and  $b$  are orthogonal if  $a \leq b'$  and we write  $a \perp b$ . Therefore  $a \oplus b$  is defined if and only if  $a \perp b$ , and in this case  $a \oplus b = (a' \ominus b)'$  by [8, Lemma 1.2.5].

*From now on, let  $L$  be a  $D$ -lattice.*

In the sequel we deal with functions defined on  $L$  with values in a topological space  $(S, \tau)$ .

**Definition 2.2.** A map  $\mu : L \rightarrow S$  is called a *measure* if  $\mu(a \oplus b) = \mu(a) + \mu(b)$  whenever  $a, b \in L$  are orthogonal.

Classical measures on Boolean algebras are example of measures on effect algebras.

We employ the notation:

**Notation 2.3.** Let  $e \in S$  be a point. We denote by  $\mathcal{M}$  the collection of all functions  $\mu : L \rightarrow S$  such that  $\mu(0) = e$  and by  $\tau[e]$  a fundamental system of neighbourhoods of  $e$ . Moreover  $M \in I_\infty(\mathbb{N})$  means  $M$  is an infinite subset of  $\mathbb{N}$ .

**Definition 2.4.** A function of  $\mathcal{M}$  is said *exhaustive* whenever  $\lim_k \mu(a_k) = e$  for every orthogonal sequence  $(a_k)$  as well as a sequence  $(\mu_n)$  of elements of  $\mathcal{M}$  is said to be *uniformly exhaustive* if  $\lim_k \mu_n(a_k) = e$ , uniformly with respect to  $n \in \mathbb{N}$ , for any orthogonal sequence  $(a_k)$  in  $L$ . For any function  $\mu \in \mathcal{M}$  we put  $\tilde{\mu}(a) := \{\mu(b) : b \in L, b \leq a\}$  for every  $a \in L$ .

**Lemma 2.5.** *If  $\mu \in \mathcal{M}$  is exhaustive and  $(a_k)$  is an orthogonal sequence in  $L$ , then for every  $P \in I_\infty(\mathbb{N})$  and every  $U \in \tau[0]$ , there exists  $M \in I_\infty(P)$  such that  $\bigoplus_{k \in M} a_k$  exists in  $L$  and  $\tilde{\mu}(\bigoplus_{k \in M} a_k) \subseteq U$ .*

*Proof.* The proof is straightforward. □

In [1] Avallone introduced the following definition:

**Definition 2.6.** We say that  $L$  satisfies the *D-subsequential completeness property* (D-SCP, for short) if for every orthogonal sequence  $(a_n)$  in  $L$  there is  $M \in I_\infty(\mathbb{N})$  such that  $\bigoplus_{n \in M} a_n$  exists.

**Lemma 2.7.** *Let  $L$  with D-SCP property. If  $\mu_n$  is a sequence of exhaustive elements of  $\mathcal{M}$ , then, for every  $U \in \tau[0]$ , any orthogonal sequence  $(a_k)$  in  $L$  admits a subsequence  $a_{k_i}$  such that  $\bigoplus_{i \in \mathbb{N}} a_{k_i}$  in  $L$  and  $\tilde{\mu}_{k_j}(\bigoplus_{i > j} a_{k_i}) \subseteq U$  for every  $j \in \mathbb{N}$ .*

*Proof.* Let  $U \in \tau[0]$  and let  $(a_k)$  be an orthogonal sequence in  $L$ .

Since  $\mu_1$  is exhaustive, by Lemma 2.5, there exists  $M_0 \in I_\infty(\mathbb{N} \setminus \{1\})$  such that  $\bigoplus_{k \in M_0} a_k$  exists in  $L$  and  $\tilde{\mu}_1(\bigoplus_{k \in M_0} a_k) \subseteq U$ .

Let  $k_1 := \min M_0$ . By Lemma 2.5 again, there exists  $M_1 \in I_\infty(M_0 \setminus \{k_1\})$  such that  $\bigoplus_{k \in M_1} a_k$  exists in  $L$  and  $\tilde{\mu}_{k_1}(\bigoplus_{k \in M_1} a_k) \subseteq U$ .

Going on by induction, one can determine an increasing sequence  $(k_m)$  in  $\mathbb{N}$  and a decreasing sequence  $(M_m)$  in  $I_\infty(\mathbb{N})$  such that for every  $m \in \mathbb{N}$ :  $\bigoplus_{k \in M_m} a_k$  exists in  $L$  and  $\tilde{\mu}_{k_m}(\bigoplus_{k \in M_m} a_k) \subseteq U$  with  $k_m \notin M_m$ .

By the D-SCP property, the orthogonal sequence  $(a_{k_m})$  admits a subsequence  $(a_{k_{m_i}})$  such that there exists in  $L$  the supremum  $\bigoplus a_{k_{m_i}}$ .

Since for every  $j \in \mathbb{N}$  it holds that  $\bigoplus_{i>j} a_{k_{m_i}} \leq \bigoplus_{k \in M_{m_j}} a_k$  one has that

$$\tilde{\mu}_{k_{m_j}}\left(\bigoplus_{i>j} a_{k_{m_i}}\right) \subseteq U \quad \forall j \in \mathbb{N},$$

which ends the proof.  $\square$

**Definition 2.8.** The function  $\mu$  in  $M$  is called quasi-triangular whenever for every  $U$  in  $\tau[0]$  there exists  $V(U) \in \tau[0]$  such that it holds

$$a \perp b, \mu(a) \in V, \mu(b) \in V \Rightarrow \mu(a \oplus b) \in U$$

$$a \perp b, \mu(a) \in V, \mu(a \oplus b) \in V \Rightarrow \mu(b) \in U.$$

The functions  $(\mu_n)$  in  $M$  are called uniformly quasi-triangular whenever for every  $U$  in  $\tau[0]$  there exists  $V(U) \in \tau[0]$  such that for all  $n \in \mathbb{N}$  it holds

$$a \perp b, \mu_n(a) \in V, \mu_n(b) \in V \Rightarrow \mu_n(a \oplus b) \in U$$

$$a \perp b, \mu_n(a) \in V, \mu_n(a \oplus b) \in V \Rightarrow \mu_n(b) \in U$$

Quasi-triangular functions generalize functions  $\mu: L \rightarrow [0, +\infty]$  satisfying

$$|\mu(a \oplus b) - \mu(a)| \leq \mu(b)$$

for orthogonal elements  $a, b \in L$ . Such functions were considered in the classical context and are called triangular by some authors.

**Lemma 2.9.** *Let  $L$  with D-SCP property. Given a sequence  $(\mu_n)$  of exhaustive and uniformly quasi-triangular elements of  $\mathcal{M}$ , if*

*for every  $U_o \in \tau[0]$  and for every orthogonal sequence  $(b_k)$  in  $L$  there exists  $k_0 \in \mathbb{N}$  such that  $\{n \in \mathbb{N} : \mu_n(b_{k_0}) \notin U_o\}$  is finite,*

*then for every  $U \in \tau[0]$  and every orthogonal sequence  $(a_k)$  in  $L$  such that  $\mu_k(a_k) \notin U \forall k \in \mathbb{N}$ , there exist an increasing sequence  $(k_m)$  in  $\mathbb{N}$  and  $M \in I_\infty(\mathbb{N})$  such that  $\exists \bigoplus_{j \in M} a_{k_j}$  and  $\tilde{\mu}_{k_m}(\bigoplus_{j \in M} a_{k_j}) \notin V(U) \forall m \in \mathbb{N}$ .*

*Proof.* Let  $U \in \tau[0]$  be given. Since the  $\mu_n$ 's are uniformly quasi-triangular, one can consider  $V_0 := V(U)$  and  $V_n = V_{n-1} \cap V(V_{n-1})$  like in Definition 2.8.

By Lemma 2.7, taking subsequences if needed, one has that

$$\tilde{\mu}_m\left(\bigoplus_{k>m} a_k\right) \subseteq V_1 \quad \forall m \in \mathbb{N} \quad (*).$$

Moreover, from assumptions there exist two natural numbers  $k_1$  and  $n_1$  such that

$$\mu_n(a_{k_1}) \in V_2 \quad \forall n > n_1$$

as well as there exist  $n_2$  and  $k_2$  such that

$$k_2 > \max\{k_1, n_1\} \quad n_2 > n_1 \quad \mu_n(a_{k_2}) \in V_3 \quad \forall n > n_2.$$

Thus, by induction, one can construct two strictly increasing sequence  $(k_j)$  and  $(n_j)$  such that

$$k_j > n_{j-1} \quad \text{and} \quad \mu_{k_m}(a_{k_j}) \in V_{j+1} \quad \forall m > j \quad (**).$$

Since  $L$  has the D-SCP, there exists  $M \in I_\infty(\mathbb{N})$  such that there exists  $\bigoplus_{j \in M} a_{k_j}$ . Moreover one infers from (\*) that  $\tilde{\mu}_{k_m}(\bigoplus_{j > m, j \in M} a_{k_j}) \subseteq V_1 \quad \forall m \in \mathbb{N}$ , and from (\*\*) that

$$\mu_{k_m}(\bigoplus_{j < m, j \in M} a_{k_j}) \in V_1 \quad \forall m \in \mathbb{N}.$$

Hence, by the uniform quasi-triangularity of the  $\mu_n$ , it follows that

$$\mu_{k_m}(\bigoplus_{j \neq m, j \in M} a_{k_j}) \in V_0 \quad \forall m \in \mathbb{N},$$

so by hypothesis one can establish that  $\tilde{\mu}_{k_m}(\bigoplus_{j \in M} a_{k_j}) \notin V_0 \quad \forall m \in \mathbb{N}$ , as desired.  $\square$

### 3. CAFIERO CRITERION

Now we are able to proof our main result.

**Theorem 3.1.** *Let  $L$  with D-SCP property. Let  $(\mu_n)$  be a sequence of exhaustive and uniformly quasi-triangular functions. Then  $(\mu_n)$  is uniformly exhaustive if and only if the following condition holds*

*for every  $U \in \tau[0]$  and every orthogonal sequence  $(a_k)$  there exist  $k_0, n_0 \in \mathbb{N}$  such that  $\mu_n(a_{k_0}) \in U \quad \forall n \geq n_0$ .*

*Proof.* The necessity of the condition is trivial.

For the sufficiency, we argue by contradiction. Let us assume, by passing to a subsequence if necessary, that there exists an orthogonal sequence  $(a_k)$  such that

$$\mu_n(a_n) \notin U_0 \quad \forall n \in \mathbb{N}.$$

Let  $(P_k)$  be a disjoint sequence in  $I_\infty(\mathbb{N})$  whose elements cover  $\mathbb{N}$ . By 2.9 for every  $k \in \mathbb{N}$  there exists  $M_k \in I_\infty(P_k)$  such that  $\exists \bigoplus_{j \in M_k} a_j$  and the set  $\{n \in \mathbb{N} : \mu_n(\bigoplus_{j \in M_k} a_j) \notin V(U_0)\}$  is infinite.

The above construction guarantees that the sequence  $(\bigoplus_{j \in M_k} a_j)_k$  is orthogonal and that for every  $k \in \mathbb{N}$  the set  $\{n \in \mathbb{N} : \mu_n(\bigoplus_{j \in M_k} a_j) \notin V(U_0)\}$  is infinite, but this contradicts the hypothesis.  $\square$

**Theorem 3.2.** *Let  $L$  with  $D$ -SCP property. Let  $(\mu_n)$  be a sequence of exhaustive and uniformly quasi-triangular elements of  $\mathcal{M}$ . If  $(\mu_n)$  converges pointwise to an exhaustive element  $\mu$  of  $\mathcal{M}$ , then  $(\mu_n)$  is uniformly exhaustive.*

*Proof.* Let us consider an open element  $U$  of  $\tau[0]$  and an orthogonal sequence  $(a_k)$ . Since  $\mu$  is exhaustive, there exists  $k_0 \in \mathbb{N}$  such that  $\mu(a_k) \in U$  for every  $k \geq k_0$ . Thus the result comes applying 3.1.  $\square$

Theorem 3.2 furnishes an alternative proof of [1, Theorem 4.3].

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